
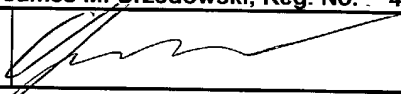


09/28/01  
JCEAS U.S. PTO

09965765-092801

10-01-01

A

<b>Vidas, Arrett &amp; Steinkraus</b> <b>Utility Patent Application</b> <b>Transmittal</b>	Atty. Docket No	S63.2-10002																																																																					
	First Inventor	Dachuan Yang et al																																																																					
	Title:	BALLOON CATHETER WITH STRIPED FLEXIBLE TIP																																																																					
	Express Mail Label No.	EL835297966																																																																					
<b>Application Elements</b>	<b>Address To:</b>	Commissioner for Patents Box Patent Application Washington, DC 20231																																																																					
<table><tr><td>1. <input checked="" type="checkbox"/></td><td>Fee Transmittal Form</td><td>Pages</td></tr><tr><td></td><td><input checked="" type="checkbox"/> Check Included</td><td></td></tr><tr><td>2. <input type="checkbox"/></td><td>Applicant claims small entity status</td><td></td></tr><tr><td>3. <input checked="" type="checkbox"/></td><td>Specification (including pg cover sheet, 13pg description, 5pg claims and 1pg abstract)</td><td>Pages 19</td></tr><tr><td>4. <input checked="" type="checkbox"/></td><td>Drawings</td><td>Pages 6</td></tr><tr><td>5. <input checked="" type="checkbox"/></td><td>Oath or Declaration</td><td>Pages 4</td></tr><tr><td></td><td>a. <input checked="" type="checkbox"/> Newly executed (original or copy)</td><td></td></tr><tr><td></td><td>b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d) (for continuation/divisional with Box 19 completed)</td><td></td></tr><tr><td></td><td>i. <input checked="" type="checkbox"/> Deletion of Inventor(s) - signed statement attached deleting inventors named in the prior application</td><td>Pages</td></tr><tr><td>6. <input checked="" type="checkbox"/></td><td>Application Data Sheet</td><td>Pages 1</td></tr><tr><td>7. <input checked="" type="checkbox"/></td><td>Assignment Papers (cover sheet &amp; documents and check) <input type="checkbox"/> Previously recorded on , Reel , Frames</td><td>Pages 3</td></tr><tr><td>8. <input type="checkbox"/></td><td>Power of Attorney</td><td>Pages</td></tr><tr><td></td><td><input type="checkbox"/> 37 C.F.R. 3.73(b) Statement (when there is an assignee)</td><td>Pages</td></tr><tr><td>9. <input type="checkbox"/></td><td>English Translation Document</td><td>Pages</td></tr><tr><td>10. <input type="checkbox"/></td><td>Information Disclosure Statement <input type="checkbox"/> Copies of Citations ( references)</td><td>Pages</td></tr><tr><td>11. <input type="checkbox"/></td><td>Preliminary Amendment</td><td>Pages</td></tr><tr><td>12. <input type="checkbox"/></td><td>Return Receipt Postcard</td><td>Pages 1</td></tr><tr><td>13. <input type="checkbox"/></td><td>Certified Copy of Priority Document</td><td>Pages</td></tr><tr><td>14. <input type="checkbox"/></td><td>Nonpublication Request</td><td>Pages</td></tr><tr><td>15. <input type="checkbox"/></td><td>Constructive Petition</td><td>Pages 1</td></tr><tr><td>16. <input checked="" type="checkbox"/></td><td>Limited Authorization</td><td>Pages 1</td></tr><tr><td>17. <input checked="" type="checkbox"/></td><td>VAS Utility Patent Application Transmittal</td><td>Pages 1</td></tr><tr><td>18. <input checked="" type="checkbox"/></td><td>Other CORRESPONDENCE ADDRESS SHEET</td><td>Pages</td></tr></table>			1. <input checked="" type="checkbox"/>	Fee Transmittal Form	Pages		<input checked="" type="checkbox"/> Check Included		2. <input type="checkbox"/>	Applicant claims small entity status		3. <input checked="" type="checkbox"/>	Specification (including pg cover sheet, 13pg description, 5pg claims and 1pg abstract)	Pages 19	4. <input checked="" type="checkbox"/>	Drawings	Pages 6	5. <input checked="" type="checkbox"/>	Oath or Declaration	Pages 4		a. <input checked="" type="checkbox"/> Newly executed (original or copy)			b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d) (for continuation/divisional with Box 19 completed)			i. <input checked="" type="checkbox"/> Deletion of Inventor(s) - signed statement attached deleting inventors named in the prior application	Pages	6. <input checked="" type="checkbox"/>	Application Data Sheet	Pages 1	7. <input checked="" type="checkbox"/>	Assignment Papers (cover sheet & documents and check) <input type="checkbox"/> Previously recorded on , Reel , Frames	Pages 3	8. <input type="checkbox"/>	Power of Attorney	Pages		<input type="checkbox"/> 37 C.F.R. 3.73(b) Statement (when there is an assignee)	Pages	9. <input type="checkbox"/>	English Translation Document	Pages	10. <input type="checkbox"/>	Information Disclosure Statement <input type="checkbox"/> Copies of Citations ( references)	Pages	11. <input type="checkbox"/>	Preliminary Amendment	Pages	12. <input type="checkbox"/>	Return Receipt Postcard	Pages 1	13. <input type="checkbox"/>	Certified Copy of Priority Document	Pages	14. <input type="checkbox"/>	Nonpublication Request	Pages	15. <input type="checkbox"/>	Constructive Petition	Pages 1	16. <input checked="" type="checkbox"/>	Limited Authorization	Pages 1	17. <input checked="" type="checkbox"/>	VAS Utility Patent Application Transmittal	Pages 1	18. <input checked="" type="checkbox"/>	Other CORRESPONDENCE ADDRESS SHEET	Pages
1. <input checked="" type="checkbox"/>	Fee Transmittal Form	Pages																																																																					
	<input checked="" type="checkbox"/> Check Included																																																																						
2. <input type="checkbox"/>	Applicant claims small entity status																																																																						
3. <input checked="" type="checkbox"/>	Specification (including pg cover sheet, 13pg description, 5pg claims and 1pg abstract)	Pages 19																																																																					
4. <input checked="" type="checkbox"/>	Drawings	Pages 6																																																																					
5. <input checked="" type="checkbox"/>	Oath or Declaration	Pages 4																																																																					
	a. <input checked="" type="checkbox"/> Newly executed (original or copy)																																																																						
	b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d) (for continuation/divisional with Box 19 completed)																																																																						
	i. <input checked="" type="checkbox"/> Deletion of Inventor(s) - signed statement attached deleting inventors named in the prior application	Pages																																																																					
6. <input checked="" type="checkbox"/>	Application Data Sheet	Pages 1																																																																					
7. <input checked="" type="checkbox"/>	Assignment Papers (cover sheet & documents and check) <input type="checkbox"/> Previously recorded on , Reel , Frames	Pages 3																																																																					
8. <input type="checkbox"/>	Power of Attorney	Pages																																																																					
	<input type="checkbox"/> 37 C.F.R. 3.73(b) Statement (when there is an assignee)	Pages																																																																					
9. <input type="checkbox"/>	English Translation Document	Pages																																																																					
10. <input type="checkbox"/>	Information Disclosure Statement <input type="checkbox"/> Copies of Citations ( references)	Pages																																																																					
11. <input type="checkbox"/>	Preliminary Amendment	Pages																																																																					
12. <input type="checkbox"/>	Return Receipt Postcard	Pages 1																																																																					
13. <input type="checkbox"/>	Certified Copy of Priority Document	Pages																																																																					
14. <input type="checkbox"/>	Nonpublication Request	Pages																																																																					
15. <input type="checkbox"/>	Constructive Petition	Pages 1																																																																					
16. <input checked="" type="checkbox"/>	Limited Authorization	Pages 1																																																																					
17. <input checked="" type="checkbox"/>	VAS Utility Patent Application Transmittal	Pages 1																																																																					
18. <input checked="" type="checkbox"/>	Other CORRESPONDENCE ADDRESS SHEET	Pages																																																																					
19. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment or in an Application Data Sheet  <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part    Of prior application no.  Prior Application Information: Examiner                      Group Art Unit  For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.																																																																							
20. TOTAL NUMBER OF PAGES 37																																																																							
<b>21. CORRESPONDENCE ADDRESS</b>   <b>00490</b> PATENT TRADEMARK OFFICE  <b>INSERT CUSTOMER NUMBER LABEL ABOVE</b>																																																																							
Name	James M. Urzedowski, Reg. No. 48,596																																																																						
Signature		Date 9/28/2001																																																																					

J1046 U.S. PTO  
09/965765  
09/28/01

Modified PTO/SB/17 (11-00)

# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

## Complete if Known

Application Number	
Filing Date	
First Named Inventor	Dachuan Yang et al
Examiner Name	
Group Art Unit	
Attorney Docket No.	S63.2-10002

TOTAL AMOUNT OF PAYMENT (\$)962.00

## METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees to:  
 Deposit Account Number 22-0350  
 Deposit Account Name Vidas, Arrett & Steinkraus
- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☐ Applicant claims small entity status. See 37 CFR 1.27
- ☒ Credit any overpayments to Deposit Account No. 22-0350  
 Deposit Account Name: Vidas, Arrett & Steinkraus

2. ☒ Payment Enclosed:

- ☒ Check ☐ Credit Card ☐ Money Order ☐ Other

## FEE CALCULATION

## BASIC FILING FEE

Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee (\$)	Fee Description	Fee Paid
101	201	710	355	Utility filing fee	710
106	206	320	160	Design filing fee	---
107	207	490	245	Plant filing fee	---
108	208	710	355	Reissue filing fee	---
114	214	150	75	Provisional filing fee	---

SUBTOTAL (1) \$ 710.00

## EXTRA CLAIM FEES

Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee (\$)	Fee Description	Fee Paid
103	203	18	9	Claims in excess of 20	---
102	202	80	40	Independent claims in excess of 3	---
104	204	270	135	Multiple dependent claim, if not paid	---
109	209	80	40	**Reissue independent claims over original patent	---
110	210	18	9	** Reissue claims in excess of 20 and over original patent	---

SUBTOTAL (2) \$ 962.00

\*\*or number previously paid, if greater, For Reissues, see above

James M. Urzedowski, Reg. No. 48,596

Signature

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee (\$)	Fee Description	Fee Paid
105	205	130	65	Surcharge - late filing fee or oath	---
127	227	50	25	Surcharge - late provisional filing fee or cover sheet	---
139	139	130	130	Non-English specification	---
147	147	2,520	2,520	For filing a request for <i>ex parte</i> reexamination	---
112	112	920*	920*	Requesting publication of SIR prior to Examiner action	---
113	113	1,840*	1,840*	Requesting publication of SIR after Examiner action	---
115	215	110	55	Extension for reply within first month	---
116	216	390	195	Extension for reply within second month	---
117	217	890	445	Extension for reply within third month	---
118	218	1,390	695	Extension for reply within fourth month	---
128	228	1,890	945	Extension for reply within fifth month	---
119	219	310	155	Notice of Appeal	---
120	220	310	155	Filing of Appeal	---
121	221	270	135	Request for oral hearing	---
138	138	1,510	1,510	Petition to institute a public use proceeding	---
140	240	110	55	Petition to revive - unavoidable	---
141	241	1,240	620	Petition to revive - unintentional	---
142	242	1,240	620	Utility issue fee (or reissue)	---
143	243	440	220	Design issue fee	---
144	244	600	300	Plant issue fee	---
122	122	130	130	Petitions to the Commissioner	---
123	123	50	50	Processing fee under 37 CFR 1 17(q)	---
126	126	180	180	Submission of Information Disclosure Stmt	---
581	581	40	40	Recording each patent assignment per property (times number of properties)	---
146	246	710	355	Filing a submission after final rejection (37 CFR § 1 129(a))	---
149	249	710	355	For each additional invention to be examined (37 CFR § 1 129(b))	---
179	279	710	355	Request for Continued Examination (RCE)	---
169	169	900	900	Request for expedited examination of a design application	---
Other Fee (specify)					(\$)
*Reduced by Basic Filing Fee Paid					(\$)
SUBTOTAL (3)					(\$)

Telephone 952-563-3000

Date 09/28/2001

**WARNING:** Information on this form may become public. Credit Card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT

<b>Inventor(s):</b>	<b>Dachuan Yang et al</b>
<b>Title:</b>	<b>BALLOON CATHETER WITH STRIPPED FLEXIBLE TIP</b>
<b>Filed:</b>	<input checked="" type="checkbox"/> concurrently herewith <input type="checkbox"/> on _____ and assigned Serial No. _____

Box Patent Application  
Commissioner for Patents  
Washington, D.C. 20231

Docket No.: S63.2-10002

## CORRESPONDENCE ADDRESS OF LAW FIRM

Vidas, Arrett & Steinkraus P.A. would like to make the following  
correspondence address of record. Please send all correspondence for this application to the  
address as follows:

**CUSTOMER NUMBER 490**  
whose present address is  
**Vidas, Arrett & Steinkraus P.A.**  
**Suite 2000**  
**6109 Blue Circle Drive**  
**Minnetonka, MN 55343-9185**

Respectfully submitted,

VIDAS, ARRETT &amp; STEINKRAUS

By: 

James M. Urzedowski  
Registration No. P48,596

Suite 2000  
6109 Blue Circle Drive  
Minnetonka, MN 55343-9185  
Phone: (952) 563-3000  
Facsimile: (952) 563-3001

F:\WPWORK\JMU\10002PPF.830